

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicants: | Ho-Shang Lee and Alexander Birman | | |
| Title: | Light Emitting System With High Extraction Efficiency | | |
| Application No.: | 10/773,943 | Filing Date: | February 6, 2004 |
| Examiner: | Ari M. Diacou | Group Art Unit: | 3663 |
| Docket No.: | DICO.049US0 | Conf. No.: | 7975 |

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

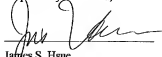
Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

According to 37 C.F.R. 1.98(2)(ii), copies of the U.S. Patents and U.S. Published Patent Applications documents are not required and are therefore not enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(c). The fee of \$180.00 has been authorized via EFS to Deposit Account 502664. The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account 502664.

Respectfully submitted,



James S. Hsue

Dated: October 18, 2006

Reg. No. 29,545

Attorney Docket No.: DICO.049US0
FILED VIA EFS

Application No.: 10/773,943

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| U.S. Department of Commerce, Patent and Trademark | Atty. Docket No. | Application No. |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | DICO.049US0 | 10/773,943 |
| | Applicant(s) | Conf. No. |
| (Use several sheets if necessary) | Ho-Shang LEE et al. | 7975 |
| (Form PTO-1449) | Filing Date | Art Group |
| | February 6, 2004 | 3663 |

U.S. Patent Documents

| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|---|-----------------|---------|---------------|-------|----------|----------------------------|
| | 1 | 6,650,672 B2 | 11-2003 | Kito et al. | | | |
| | 2 | 6,831,302 B2 | 12-2004 | Erchak et al. | | | |
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U.S. Published Patent Application Documents

| *Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|--|-----------------|------|------|-------|----------|----------------------------|
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Foreign Patent Documents

| | | | | | | | Translation | |
|--|--|----------|------|---------|-------|----------|-------------|----|
| | | Document | Date | Country | Class | Subclass | Yes | No |
| | | | | | | | | |
| | | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|---|--|
| | 3 | A. Zukauskas et al., "Introduction to Solid-State Lighting", 2002, Chapter 5, pp. 82-91 and 112-115, ISBN 0-471-21574-0. |
| | | |
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|--|-----------------|
| Examiner | Date Considered |
| <p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p> | |